HKIB The Hong Kong Institute of Bankers | **CERTIFIED BANKER** | **BEST** Certificate Programme **Green Technology** | **FLEX LEARNING** POWERED BY THE HKIB

Module 1
Cross Boundary Regulatory Technology (RegTech) in Hong Kong and the GBA in BFSI Industry: Addressing Challenges, Maximizing Opportunities

Programme Code: HRBT00P24081

27 August 2024 (Tuesday)
7:00pm – 10:00pm

3 HOURS

HKIB ECF SFC
CPD CPD CPT

#philipkamphotography

Programme Outline

This program explores RegTech in BFSI, covering ESG, risk-based AML, RPA, fraud prevention, NLP, Google Analytics, customer analytics, AML/CFT cross-boundary tech, on-chain/off-chain AML KYA RegTech, smarter contract auditing, cybersecurity, and bridging emerging Fintech in GBA, Asia, and the Middle East.Fundamental Elements and Evolution of RegTech

1. Risk-Based AML Supervision
2. Thematic Review of Sanctions Name Screening and Transaction Monitoring
3. Robotic Process Automation (RPA) in RegTech
4. RegTech for ESG and Greentech: Net Zero Transition & Transition Planning
5. Bridging emerging Fintech between the GBA, Asia, and the Middle East

Speaker Introduction

Speaker 1
The speaker is the CEO and co-founder of a RegTech & ESG startup. He studied Mathematics at Oxford University and completed an EMBA from Kellogg-HKUST. With technical and business experience gained in the UK, US, and APAC, he recently invented a patented NLP-based technology on Chinese character fuzzy logic. His innovation impacts the traditional and new era of Green Cross Boundary KYC AML processes for financial institutions globally. He also has recent 'on-the-ground' fintech ambassador experience with the Middle East and various regulators in Asia

Speaker 2
The speaker is an experienced risk management and compliance practitioner in international banking groups. He has a background in leading virtual banks in China and Singapore and holds an EMBA from CUHK and a Master of Finance Degree from University of Antwerp. In addition to his teaching and advisory positions at think tanks and universities, he is a certified compliance professional (ACAMLS and ICA).
He is also an international mediator, arbitrator and coach
specializing in banking and financial disputes. Furthermore, he serves as a Fellow of the Hong Kong Credit and Collection Management Association.

Target Audiences

1. **Banking partitioners**
2. **Regulators and Compliance professionals, IT professionals, Frontline Staff**
3. **HKIB Professional Qualification holders :**

CAMLP **AAMLP** **CRWP** **ARWP**
ACsP **ACRP** **CORP** **AORP**
CCRP(CL) **CCRP(CPM)** **CPFinT(M)** **CPFinT(S-OBAPI)**
CPFinT(S-AIBD) **CPFinT(S-DLT)** **CPFinT(A)** **CPFinT(S-RT)**

Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

13 August 2024 (Tuesday)

Application DEADLINE

20 August 2024 (Tuesday)

APPLY NOW!

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PRICE LIST	Individual Member HKD	Staff of Corporate Member HKD	Non-Member HKD 900
Early Bird			
Regular Price	HKD900	HKD900	HKD1,000